

Focus on Technology

Facilities developing, applying, or modifying highly sought after technologies are at a higher risk from foreign collectors. These facilities must consider the volume and persistence of foreign collection when conducting risk management and risk mitigation.

Methods of Operation



Academic Solicitation: Applications for admission to advance science, technology, engineering, and math degree programs associated with cleared facilities, and requests for peer and board review of academic papers



Attempted Acquisition of Technology: Attempts to purchase targeted technology, via purchase requests, marketing and sales related websites, and email



Criminal Activities: Usually exploiting lapses in physical security to obtain protected information or products with no pretense of legitimate acquisition



Exploitation of Relationships: Exploiting a connection such as joint ventures, business arrangements, and foreign military sales to obtain information or materials outside the scope of the agreement



Foreign Visit: Attempts to access information or technology during visits to cleared facilities, incidents include use of unauthorized devices, asking for information outside the scope of the visit



Request for Information: Requests, often submitted via email or web card, for technical information or specific capabilities of restricted or export controlled technologies



Search/Seizure: Airport or customs officials using entry/exit inspections to access or seize hardcopy files, or electronic devices, also includes searches of cleared employees hotel rooms



Seeking Employment: Applications/résumé from foreign applicants submitted to human resource offices or employment websites for positions requiring U.S. citizenship or security clearances



Solicitation or Marketing Services: Offers to provide goods or services to a cleared company, or to act as a cleared company's representative/agent overseas to gain access to restricted information or technology



Surveillance: Visual, aural, photographic, or electronic systematic observation of a cleared facilities, sites, or personnel



Suspicious Network Activity: Cyber actors attempts to gain access to/or introduce malware on a cleared company's network, common exploits include phishing/spear phishing, whaling

Based on reporting of foreign collection targeting cleared industry, fiscal year 2015





Defense Security Service website: http://www.dss.mil

| Based on Cleared Industry Reporting of Foreign Collection Targeting Cleared Industry, Fiscal Year 2015 | | | | | |
|--|--|--------------------------|-----------|---------------------------------|--|
| | Targeted Technologies (in order of precedence) | Top Targeting Regions | | hods of eration Secondary | Methods of Operation Details and Likely Targets (Cyber domain is the most frequent vector for contact) |
| | Electronics: Radiation-hardened ICs, Monolithic Microwave ICs, Gallium Nitride Amplifiers | East Asia & the Pacific | | \bowtie | Academic Solicitation Target university, government, and private research facilities: Admissions Department; Subject Matter Experts (SMEs); Professors or Faculty Members Applications for admission Requests for review of academic papers Requests to study/consult |
| | | South & Central Asia | JOBS | | |
| | C4: Antenna, Digital Receivers, Microwave Filters, Airborne and Tactical Radios, SIGINT Systems | East Asia & the Pacific | | | |
| | | Near East | | | |
| * | Aeronautic Systems: Unmanned Aerial Systems Enhanced Flight Vision Systems, Collision Avoidance Systems | East Asia & the Pacific | * | | Attempted Acquisition of Technology Target entities involved in business development/sales or management: Senior Management, Business Development (BD); Sales Office; Web Team Inquiries to purchase company or business units Attempts to acquire protected/controlled technologies Purchase of equipment, schematics, or plans |
| | | Near East | | * | |
| • | Energy Systems: Propulsion Systems (gas turbine engines, turbo fan engines), Gas Dynamics, Bipropellant Rocket Engines, Solid Oxide Fuel Cells | Near East | | JOBS | |
| | | South & Central Asia | | | |
| 6 | Software: Modeling and Simulation, Facial Recognition, Computer-aided Design (CAE), Wide Area Surveillance | East Asia & the Pacific | \bowtie | | Seeking Employment Target human resource departments: Human Resources; Security Office Resumes & Applications Attempts to introduce persons to cleared facilities to gain access to protected information |
| | | South & Central Asia | JOBS | | |
| | Radars: Radars for Anti-Access/Area Denial (A2/AD), Phase Adaptive Radars, Electronically Steered Radars, Ground Penetrating Radars | Near East | | \bowtie | |
| | | East Asia & the Pacific | (S) | | |
| Ŋ | Optics: Fast Steering Mirrors, Night Vision Devices, Infrared Interference Filters, Thermal Imaging Cameras, Wide Area Optical Systems | East Asia & the Pacific | | | Foreign Visit Individual/offices hosting Foreign delegation visits: Public Relations; Security; Escorts; SMEs; BD; Sales Unauthorized photography or recording Last minute changes to delegation Engaging in discussion outside of scope of visit Known or suspected intelligence officers |
| | | Near East | | | |
| ‡ | Armaments & Survivability: Missile Systems, Rail Gun, Mine Protected Vehicles, Mine Detectors, Hostile Fire Detector Systems | East Asia & the Pacific | | \bowtie | |
| | | Near East | | | |
| * | Marine Systems: Autonomous Underwater Vehicles, Autonomous Surface Vehicles, Underwater Detection Technologies | Near East | | | Request for Information Direct request for protected information: BD; Sales; Marketing; SMEs; Web Team Under the guise of price quotes, marketing surveys, technical specifications Telephone, web card, and email contact |
| | | East Asia & the Pacific | | \bowtie | |
| < | Materials: Raw & Processed: Composite Materials, Radar Absorbing Composites, Metallurgy Engineering, Piezoelectric Materials | Near East | | (S) | |
| | | East Asia & the Pacific | | | |