Deepanshu Kasaba Kher

2021

Crime: Intentional Damage to a Protected Computer

Court: Southern District of California

State: CA

Result: Pled Guilty

Sentence: 24 months

Fine: $567,084

Year of Conviction: 2021

Age at conviction: 32

Employee Type: Industry Employee

Military: n/a

Job: Information Technology Specialist

Country of Concern: n/a

Targeted Technology: Computers

Indicators: Access Attributes, Professional Lifecycle and Performance, Foreign Considerations

Cyber Intrusion / Sabotage

Shannon Stafford

Crime: Illegally Accessing and Damaging a Computer Network

Court: US District Court

State: MD

Result: Convicted

Sentence: 12 months

Fine: $193,258.10

Year of Conviction: 2020

Age at conviction: 50

Employee Type: Non-governmental employee

Military: n/a

Job: Information Technology

Country of Concern: n/a

Targeted Technology: n/a

Indicators: Professional Lifecycle and Performance, Access Attributes, Security and Compliance Issues, Technical Activity

Cyber Intrusion/Sabotage

Crime: Intentional damage to a protected computer
Court: US District Court for District of Minnesota
State: MN
Result: Convicted
Sentence: 12 months
Fine: $19,688
Year of Conviction: 2018
Age at conviction: 46
Employee Type: Industry
Military: n/a
Job: Senior Network Design Engineer
Country of Concern: n/a
Targeted Technology: n/a

Indicators: Professional Performance and Lifecycle/Technical Activity/Security Incident

Crime: Intentionally accessing a computer network without authorization

Court: US District Court

State: TN

Result: Pled Guilty

Sentence: 18 months

Fine: $172,394

Year of Conviction: 2017

Age at conviction: 45

Employee Type: Industry Employee

Military: n/a

Job: Engineer

Country of Concern: n/a

Targeted Technology: Other

Indicators: Access Attributes, Financial Considerations, Security and Compliance Incidents

Richard Liriano

Crime: Computer fraud
Court: US Southern District of NY
State: NY
Result: Pled Guilty
Sentence: 30 months
Fine: restitution of $351,850.25
Year of Conviction: 2019
Age at conviction: 34
Employee Type: New York City Hospital Worker
Military: n/a
Job: n/a
Country of Concern: n/a
Targeted Technology: Computers

Indicators: Security and Compliance Incidents

Hacking

Crime: Theft of Intellectual Property

Court: US District Court

State: OH

Result: Pled Guilty

Sentence: 37 months

Fine: $3.4 million in restitution to the NIH and approximately $413,000 to The Ohio State University

Year of Conviction: 2021

Age at conviction: 58

Employee Type: Industry Employee

Military: n/a

Job: Research Scientist

Country of Concern: China

Targeted Technology: Medical

Indicators: **Access Attributes, Foreign Considerations, Financial Considerations**

Crime: Conspiracy to defraud the US government

Court: US District Court

State: DC

Result: Pled Guilty

Sentence: 40 months

Fine: $40,000

Year of Conviction: 2019

Age at conviction: 63

Employee Type: Other Federal Agency Employee

Military: n/a

Job: Office Management Specialist

Country of Concern: China

Targeted Technology: Other

Indicators: Financial Considerations, Foreign Considerations, Security and Compliance Incidents

Crime: Theft of a trade secret, unauthorized transmission of a trade secret, and unauthorized possession of a trade secret

Court: US District Court

State: OK

Result: Pled Guilty

Sentence: 66 months

Fine: $150,000

Year of Conviction: 2019

Age at conviction: 36

Employee Type: Industry Employee

Military: n/a

Job: Research Scientist

Country of Concern: China

Targeted Technology: Battery Technology

Indicators: Access Attributes, Foreign Considerations, Security and Compliance Incidents, Technical Activity

Crime: Espionage

Court: Military Tribunal

State: CA

Result: Pled Guilty

Sentence: 36 months

Fine: Reduction in Rank

Year of Conviction: 2019

Age at conviction: 27

Employee Type: Active Duty Military

Military: Navy

Job: Nuclear Electrician's Mate

Country of Concern: Russia

Targeted Technology: Nuclear powered warships

Indicators: Foreign Considerations, Substance Abuse and Addictive Behavior, Professional Lifecycle and Performance

Crime: Attempted espionage

Court: US District Court

State: VA

Result: Suicide

Sentence: 132 months

Fine: n/a

Year of Conviction: 2015

Age at conviction: 36

Employee Type: DOD Civilian Employee

Military: Navy

Job: Engineer

Country of Concern: Egypt

Targeted Technology: Marine Systems

Indicators: Financial Considerations, Security and Compliance Incidents

Crime: Unlawfully retaining classified national defense information at his home and willfully communicating classified national defense information to a person not authorized to receive it

Court: US District Court

State: HI

Result: Pled Guilty

Sentence: 87 months

Fine: n/a

Year of Conviction: 2014

Age at conviction: 60

Employee Type: Federal Contractor

Military: Army

Job: Unknown

Country of Concern: China

Targeted Technology: Ground Systems

Indicators: Security and Compliance Incidents

Crime: Acting in the United States as an agent of China
Court: US District Court
State: NY
Result: Convicted
Sentence: 24 months
Fine: $10,000
Year of Conviction: 2017
Age at conviction: 46
Employee Type: Other Federal Agency Employee
Military: n/a
Job: Electronics Technician
Country of Concern: China
Targeted Technology: n/a

Indicators: Foreign Considerations, Security and Compliance Incidents

Crime: Violating the Atomic Energy Act

Court: US District Court

State: TN

Result: Pled Guilty

Sentence: 24 months

Fine: $20,000

Year of Conviction: 2017

Age at conviction: 66

Employee Type: Industry Employee

Military: n/a

Job: Owner/President

Country of Concern: China

Targeted Technology: Nuclear

Indicators: Financial Considerations, Foreign Considerations

Crime: Violating the Arms Export Control Act

Court: US District Court

State: CT

Result: Convicted

Sentence: 97 months

Fine: $50,000

Year of Conviction: 2014

Age at conviction: 61

Employee Type: Federal Contractor

Military: n/a

Job: Engineer

Country of Concern: Iran

Targeted Technology: Aeronautic Systems

Indicators: Financial Considerations, Foreign Considerations

Crime: Attempted espionage and theft of trade secret

Court: Court Martial

State: n/a

Result: Pled Guilty

Sentence: 108 months

Fine: n/a

Year of Conviction: 2013

Age at conviction: 32

Employee Type: Active Duty Military

Military: Marine Corps

Job: Marine

Country of Concern: China

Targeted Technology: Other

Indicators: Financial Considerations, Foreign Considerations

Crime: Economic espionage, tax evasion, bankruptcy fraud, and obstruction of justice
Court: US District Court
State: NY
Result: Pled Guilty
Sentence: 60 months
Fine: n/a
Year of Conviction: 2018
Age at conviction: 31
Employee Type: Industry Employee
Military: n/a
Job: n/a
Country of Concern: China
Targeted Technology: Software

Indicators: Criminal, Violent, or Abusive Conduct, Financial Considerations, Technical Activity

Crime: Theft of trade secrets
Court: US District Court
State: NJ
Result: Pled Guilty
Sentence: 18 months
Fine: n/a
Year of Conviction: 2012
Age at conviction: 30
Employee Type: Industry Employee
Military: n/a
Job: Research Chemist
Country of Concern: China
Targeted Technology: Medical

Indicators: Access Attributes, Foreign Considerations

Crime: Conspiracy to commit trade secret theft

Court: US District Court

State: LA

Result: Convicted

Sentence: 180 months

Fine: $28,311,000

Year of Conviction: 2011

Age at conviction: 56

Employee Type: Industry Employee

Military: n/a

Job: Research Scientist

Country of Concern: China

Targeted Technology: Chemical

Indicators: Access Attributes, Financial Considerations, Foreign Considerations

Crime: Economic espionage, tax evasion, bankruptcy fraud, and obstruction of justice
Court: US District Court
State: LA
Result: Convicted
Sentence: 24 months
Fine: $625,000
Year of Conviction: 2014
Age at conviction: 75
Employee Type: Industry Employee
Military: n/a
Job: Research Engineer
Country of Concern: China
Targeted Technology: Chemical

Indicators: Access Attributes, Financial Considerations, Foreign Considerations

Crime: Theft of trade secrets
Court: US District Court
State: IA
Result: Convicted
Sentence: 36 months
Fine: n/a
Year of Conviction: 2014
Age at conviction: 46
Employee Type: Industry Employee
Military: n/a
Job: Director of International Business
Country of Concern: China
Targeted Technology: Agricultural

Indicators: Foreign Considerations, Security and Compliance Incidents

Crime: Attempted espionage, conspiracy to defraud the United States and tax evasion

Court: US District Court

State: DC

Result: Pled Guilty

Sentence: 13 years

Fine: n/a

Year of Conviction: 2012

Age at conviction: 54

Employee Type: Industry Employee

Military: n/a

Job: President of the non-profie Alliance for Competitive Technology (ACT)

Country of Concern: Israel

Targeted Technology: Space Systems

Indicators: Access Attributes, Financial Considerations, Technical Activity

Crime: Violating the Arms Export Control Act
Court: US District Court
State: NJ
Result: Pled Guilty
Sentence: 57 months
Fine: n/a
Year of Conviction: 2016
Age at conviction: 50
Employee Type: Federal Contractor
Military: n/a
Job: Owner/Operator
Country of Concern: India
Targeted Technology: Marine Systems, Aeronautic Systems

Indicators: Foreign Considerations, Professional Lifecycle and Performance, Security and Compliance Incidents

Crime: Attempted 18 USC 794 – Espionage

Court: US District Court

State: CA

Result: Convicted

Sentence: 480 months

Fine: n/a

Year of Conviction: 1977

Age at conviction: 24

Employee Type: Federal Contractor

Military: n/a

Job: Code Clerk

Country of Concern: Soviet Union

Targeted Technology: C4

Indicators: **Financial Considerations, Substance Abuse and Addictive Behaviors, Judgement, Character, and Psychological Conditions**

Crime: Illegal Export of ITAR controlled Defense Article

Court: US District Court

State: AZ

Result: Pleaded Guilty

Sentence: 38 months

Fine: n/a

Year of Conviction: 2020

Age at conviction: 49

Employee Type: n/a

Military: n/a

Job: Electrical Engineer

Country of Concern: China

Targeted Technology: Missile Systems

Indicators: Access Attributes, Technical Activity, Security Violations

Crime: Conveying U.S. Navy technical data to an unauthorized party

Court: US District Court

State: DC

Result: Pleaded Guilty

Sentence: 11 months (Time served)

Fine: $25,000

Year of Conviction: 2019

Age at conviction: 52

Employee Type: Corporate

Military: n/a

Job: President

Country of Concern: China

Targeted Technology: Submarine Rescue System

Indicators: Foreign Considerations

Crime: 18 U.S.C. § 1001, materially false statements to federal law enforcement officers; f18 U.S.C. § 794, transmitted national defense information to an agent of the PRC

Court: Eastern District of Virginia

State: VA

Result: Convicted

Sentence: 20 Years

Fine: n/a

Year of Conviction: 2019

Age at conviction: 62

Employee Type: Defense Contractor

Military: n/a

Job: CIA Covert Case Officer

Country of Concern: China

Targeted Technology: n/a

Indicators: Financial Considerations, Security and Compliance Incidents

Crime: Conspiracy to Defraud the US

Court: US District Court

State: MN

Result: Pled Guilty

Sentence: 15 months

Fine: n/a

Year of Conviction: 2018

Age at conviction: 39

Employee Type: Industry Employee

Military: n/a

Job: Head of Purchasing

Country of Concern: Iran

Targeted Technology: Nuclear and ballistic missile program, dual use

Indicators: Foreign Considerations, Security and Compliance Issues

Crime: Conspiracy to illegally export military-grade night vision and thermal vision devices and ammunition primers

Court: US District Court

State: FL

Result: Pled Guilty

Sentence: 26 months

Fine: n/a

Year of Conviction: 2018

Age at conviction: 32

Employee Type: Industry Employee

Military: Navy

Job: Exporter

Country of Concern: Russia
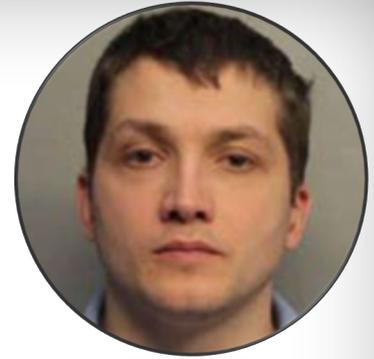
Targeted Technology: Ground Systems

Indicators: Foreign Considerations, Security and Compliance Incidents, Technical Activity

Crime: Attempting to commit economic espionage, attempting to violate the Arms Export Control Act, and violating the International Traffic in Arms Regulations (ITAR)

Court: District Court

State: CA

Result: Pled Guilty

Sentence: 60 months

Fine: n/a

Year of Conviction: 2017

Age at conviction: 50

Employee Type: Federal Contractor

Military: n/a

Job: Engineer

Country of Concern: Russia

Targeted Technology: Space Systems

Indicators: Criminal, Violent, or Abusive Conduct, Financial Considerations, Judgement, Character, and Psychological Conditions

Crime: Conspiring to smuggle and illegally export controlled technology
Court: US District Court
State: TX
Result: Pled Guilty
Sentence: 46 months
Fine: $50,000
Year of Conviction: 2017
Age at conviction: 62
Employee Type: Industry Employee
Military: n/a
Job: Exporter
Country of Concern: China, Russia
Targeted Technology: Manufacturing Equipment and Manufacturing Processes

<u>Indicators</u>: Financial Considerations, Foreign Considerations, Security and Compliance Incidents

Crime: Conspiracy to defraud the United States
Court: US District Court
State: DC
Result: Pled Guilty
Sentence: 40 months
Fine: n/a
Year of Conviction: 2017
Age at conviction: 43
Employee Type: Industry Employee
Military: n/a
Job: Exporter
Country of Concern: Iran
Targeted Technology: C4

Indicators: Foreign Considerations, Security and Compliance Incidents, Technical Activity

Crime: Acting as an agent of the Russian government, money laundering, and obstruction of justice

Court: US District Court

State: NY

Result: Convicted

Sentence: 120 months

Fine: $50,000

Year of Conviction: 2015

Age at conviction: 49

Employee Type: Industry Employee

Military: n/a

Job: CEO

Country of Concern: Russia

Targeted Technology: Manufacturing Equipment and Manufacturing Processes

Indicators: Foreign Considerations, Security and Compliance Incidents

Illegal Export

Crime: Providing material support to terrorists; Attempted use of a weapon of mass destruction

Court: US District Court

State: VA

Result: Convicted

Sentence: 300 months

Fine: n/a

Year of Conviction: 2021

Age at conviction: 28

Employee Type: Non-Employee

Military: n/a

Job: n/a

Country of Concern: n/a

Targeted Technology: n/a

Indicators: Criminal, Violent, or Abusive Conduct, Violent Extremist Mobilization

Aiding Terror Group

Crime: Distributing information related to explosives, destructive devices, and weapons of mass destruction.
Court: US District Court
State: KS
Result: Pleaded Guilty
Sentence: 30 months
Fine: n/a
Year of Conviction: 2020
Age at conviction: 24
Employee Type: DOD
Military: Army
Job: Infantry Soldier
Country of Concern: Ukraine
Targeted Technology: Explosives

Indicators: Judgement, Character, and Psychological Conditions; Criminal, Violent or Abusive Conduct

Crime: Attempting to provide material support to a designated foreign terrorist organization
Court: Northern District of New York
State: NY
Result: Plead Guilty
Sentence: 180 months
Fine: n/a
Year of Conviction: 2020
Age at conviction: 30
Employee Type: n/a
Military: n/a
Job: n/a
Country of Concern: n/a
Targeted Technology: n/a

Indicators: Judgement and Criminal, Violent, or Abusive Conduct

Aiding Terror Group

Crime: Providing Support to Foreign Terrorist Organization
Court: US District Court
State: TX
Result: Convicted
Sentence: 10 years
Fine: n/a
Year of Conviction: 2019
Age at conviction: 42
Employee Type: n/a
Military: n/a
Job: n/a
Country of Concern: ISIS
Targeted Technology: n/a

Indicators: **Ideological, Psychological, Behavioral**

Crime: Multiple charges including: intentionally defacing, damaging, and destroying religious property
Court: US District Court
State: MN
Result: Convicted
Sentence: 636 months
Fine: n/a
Year of Conviction: 2021
Age at conviction: 50
Employee Type: n/a
Military: n/a
Job: n/a
Country of Concern: n/a
Targeted Technology: n/a

Indicators: Financial Considerations, Access Attributes

Crime: n/a
Court: n/a
State: n/a
Result: Suicide
Sentence: n/a
Fine: n/a
Year of Conviction: 2014
Age at conviction: 34
Employee Type: Active Duty Military
Military: Army
Job: n/a
Country of Concern: n/a
Targeted Technology: n/a

Crime: Rape and Sexual Assaults
Court: Court Martial
State: NY
Result: Pled Guilty
Sentence: 165 months
Fine: N/A
Year of Conviction: 2017
Age at conviction: Unknown
Employee Type: Government
Military: Army
Job: SSG/Training Manager
Country of Concern: N/A
Targeted Technology: N/A

Crime: Obstruction of Free Exercise of Religious Beliefs
Court: North Carolina Middle District Court
State: NC
Result: Pled Guilty
Sentence: 8 months
Fine: n/a
Year of Conviction: 2017
Age at conviction: 36
Employee Type: Activity Duty Military
Military: Army Reserve
Job: Major
Country of Concern: n/a
Targeted Technology: n/a

Indicators: Criminal, Violent, or Abusive Conduct

Abdul-Majeed Marouf Ahmed Alani   2020

Crime: Attempted destruction of an aircraft

Court: District

State: FL

Result: Pled Guilty

Sentence: 37 months

Fine: n/a

Year of Conviction: 2020

Age at conviction: 60

Employee Type: Industry Employee

Military: n/a

Job: Airline Mechanic

Country of Concern: n/a

Targeted Technology: n/a

Indicators: Financial Considerations, Access Attributes

Sabotage

Charles H. Eccleston

Crime: Unauthorized access and intentional damage to US information systems
Court: US District Court
State: DC
Result: Pled Guilty
Sentence: 18 months
Fine: n/a
Year of Conviction: 2016
Age at conviction: 62
Employee Type: Industry Employee
Military: n/a
Job: Author
Country of Concern: Iran, China, Venezuela
Targeted Technology: Nuclear

Indicators: Financial Considerations, Foreign Considerations, Security and Compliance Incidents

Sabotage

Crime: Intentionally accessing a protected computer and recklessly causing damage
Court: US District Court
State: CA
Result: Pled Guilty
Sentence: 30 months
Fine: $15,000
Year of Conviction: 2020
Age at conviction: 31
Employee Type: Industry Employee
Military: n/a
Job: Software Engineer
Country of Concern: n/a
Targeted Technology: Network Servers

Indicators: Access Attributes, Foreign Considerations, Security and Compliance Incidents

Sabotage

Crime: Violation of Espionage Act

Court: US District Court

State: VA

Result: Convicted

Sentence: 30 months

Fine: n/a

Year of Conviction: 2020

Age at conviction: 31

Employee Type: DOD Civilian Employee

Military: n/a

Job: Analyst

Country of Concern: n/a

Targeted Technology: Weapons Systems

Indicators: Security/Compliance Incidents

Unauthorized Disclosure

Crime: Unlawful retention and transmission of national defense information

Court: US District Court

State: GA

Result: Pleaded Guilty

Sentence: 63 months

Fine: n/a

Year of Conviction: 2018

Age at conviction: 26

Employee Type: Contractor

Military: n/a

Job: Intelligence Specialist

Country of Concern: n/a

Targeted Technology: n/a

Indicators: **Security and Compliance Incidents, Technical Activity, Access Attributes**

Crime: Eleven espionage charges

Court: Court Martial

State: VA

Result: Pled Guilty

Sentence: 408 months

Fine: n/a

Year of Conviction: 2010

Age at conviction: 22

Employee Type: Active Duty Military

Military: Navy

Job: Sailor

Country of Concern: China

Targeted Technology: Marine Systems

Indicators: Access Attributes, Financial Considerations, Security and Compliance Incidents

Crime: Leaking five classified documents to an unidentified blogger
Court: Federal
State: MD
Result: Pled Guilty
Sentence: 20 months
Fine: n/a
Year of Conviction: 2009
Age at conviction: 40
Employee Type: Federal Contractor
Military: n/a
Job: Linguist
Country of Concern: Israel
Targeted Technology: C4

Indicators: Access Attributes, Professional Lifecycle and Performance, Security and Compliance Incidents

Crime: Unauthorized removal and retention of classified documents or material

Court: US District Court for the Southern District of Ohio

State: OH

Result: Pled Guilty

Sentence: 12 months

Fine: n/a

Year of Conviction: 2021

Age at conviction: 35

Employee Type: Federal Contractor

Military: Air Force

Job: Engineer

Country of Concern: n/a

Targeted Technology: Undisclosed

Indicators: Access Attributes; Security and Compliance Incidents; Substance Abuse and Addictive Behaviors

Crime: Three weapons charges and one drug charge

Court: US District Court

State: MD

Result: Pled Guilty

Sentence: 160 months

Fine: n/a

Year of Conviction: 2020

Age at conviction: 50

Employee Type: Active Duty Military

Military: Coast Guard

Job: USCG Lieutenant

Country of Concern: n/a

Targeted Technology: n/a

Indicators: Judgement, Character, and Psychological Conditions, Professional Lifecycle and Performance

Crime: Unauthorized removal and retention of classified material and making material false statements
Court: US District Court
State: VA
Result: Plead Guilty
Sentence: 3 months
Fine: n/a
Year of Conviction: 2018
Age at conviction: 54
Employee Type: Other Federal Agency Employee
Military: n/a
Job: Researcher
Country of Concern: n/a
Targeted Technology: n/a

Indicators: Access Attributes, Foreign Considerations, Security and Compliance Incidents

Crime: Unauthorized and willful retention of National Defense Information (NDI)

Court: US District Court

State: MD

Result: Pled Guilty

Sentence: 108 months

Fine: n/a

Year of Conviction: 2019

Age at conviction: 54

Employee Type: Federal Contractor

Military: n/a

Job: Consultant

Country of Concern: n/a

Targeted Technology: Other

Indicators: Access Attributes, Judgement, Character, and Psychological Conditions, Security and Compliance Incidents, Substance Abuse and Addictive Behaviors

Crime: Willful retention of classified national defense information
Court: US District Court
State: MD
Result: Pled Guilty
Sentence: 66 months
Fine: n/a
Year of Conviction: 2017
Age at conviction: 68
Employee Type: DOD Civilian Employee
Military: n/a
Job: Developer
Country of Concern: n/a
Targeted Technology: National Defense Information

Indicators: Access Attributes, Foreign Considerations, Security and Compliance Incidents

Crime: Mishandling classified information, not reporting foreign contacts, and putting US destinations on leave requests when he had planned to leave the country

Court: Court Martial

State: VA

Result: Pled Guilty

Sentence: 72 months

Fine: n/a

Year of Conviction: 2015

Age at conviction: 40

Employee Type: Active Duty Military

Military: Navy

Job: Sailor

Country of Concern: Taiwan

Targeted Technology: Marine Systems

Indicators: Access Attributes, Foreign Considerations

Crime: Conspiracy to commit bribery
Court: US District Court
State: CA
Result: Pled Guilty
Sentence: 144 months
Fine: $20,000,000
Year of Conviction: 2014
Age at conviction: 47
Employee Type: Industry Employee
Military: Navy
Job: NCIS Agent
Country of Concern: Malaysia
Targeted Technology: n/a

Indicators: Financial Considerations, Security and Compliance Incidents

Crime: Mishandling classified information, theft of government property

Court: Court Martial

State: CA

Result: Pled Guilty

Sentence: 26 months

Fine: n/a

Year of Conviction: 2007

Age at conviction: 39

Employee Type: Active Duty Military

Military: Marine Corps

Job: Gunnery Sergeant

Country of Concern: n/a

Targeted Technology: n/a

Indicators: Criminal, Violent, or Abusive Conduct, Security and Compliance Incidents

Jean Patrice Delia / Miguel Sernas   2019

Crime: Theft of Trade Secrets
Court: Northern District of New York
State: NY
Result: Plead Guilty
Sentence: Pending / 12 months
Fine: Pending / $1.4 Million
Year of Conviction: 2020 / 2019
Age at conviction: 44 / 41
Employee Type: Industry
Military: n/a
Job: Performance Engineer
Country of Concern: N/A
Targeted Technology: Power plant Turbines

Indicators: Access Attributes/Security and Compliance Incidents/Technical Activity

Theft of Trade Secrets

Crime: Kinetic Violence

Court: n/a

State: n/a

Result: Suicide

Sentence: n/a

Fine: n/a

Year of Conviction: 2019

Age at conviction: 22

Employee Type: Active Duty Military

Military: Navy

Job: Machinist Mate Auxiliary Fireman

Country of Concern: n/a

Targeted Technology: n/a

Indicators: Access Attributes, Character, and Psychological Condition, Professional Lifecycle and Performance