DEFENSE COUNTERINTELLIGENCE AND SECURITY AGENCY

National Access Elsewhere Security Oversight Center

Risk-based Industrial Security Oversight

RISK-BASED METHODOLOGY

Risk management principles to protect U.S. technologies

U.S. industry leads the world in producing the technologies that are the foundation of the nation's economic and military advantage. Today, these advantages are at risk due to significant technology transfer and exploitation. Responding to this challenge, the Defense Counterintelligence and Security Agency (DCSA) has adopted an intelligence-led, asset-focused, and threat-driven approach to industrial security. This approach provides both DCSA and Facility Security Officers (FSOs) with a risk-based methodology to identify critical technologies that require the most protection, as well as assess threats, consider vulnerabilities, and apply appropriate security measures. This approach is formally known as the Risk-based Industrial Security Operations (RISO) methodology.

SECURITY FOR ACCESS ELSEWHERE FACILITIES

Focused oversight that meets the requirements of non-possessors

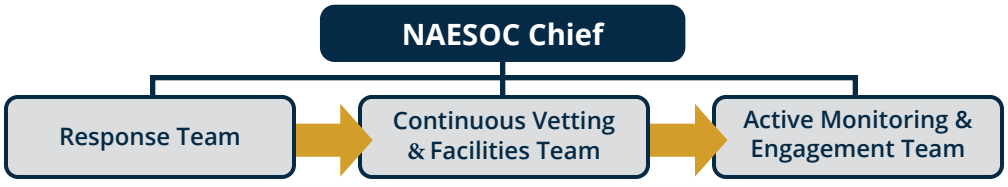
DCSA services approximately 12,500 facilities, 60% of which have not been approved to safeguard classified materials and are categorized as "non-possessing" facilities. Security compliance programs at these facilities may vary in complexity, but none have the additional requirements of "possessing" facilities that are approved to safeguard classified materials. DCSA established the National Access Elsewhere Security Oversight Center (NAESOC) to optimize security oversight, scaled to the specific requirements of non-possessor facilities. "Access elsewhere" (AE) facilities manage cleared personnel and support to classified programs but generally perform all classified and/or critical support operations at their designated government contracting activity (GCA) sites or other cleared contractor locations.

The NAESOC provides the most effective method of security oversight for select access elsewhere facilities in the NISP:

- **One Voice for the Director — One Resource for the Customer**
- Leverages Continuous Evaluation vetting
- New training approach for non-possessors

The NAESOC was established in a consolidated location to provide consistent oversight and security management. It enables the timely execution of mitigation actions that enhance industry's ability to support the "deliver uncompromised" mission for defense products and services. These relationships and processes developed specifically for AE facilities will effectively optimize communications, threat reporting, and processing of facility profile changes. This allows for better, quicker responses for industry partners and assistance to our GCA counterparts in making early risk-informed decisions.

NAESOC SUPPORTS INDUSTRY



DCSA and Industry Partnership

As DCSA's security oversight approach evolves from a primary focus on National Industrial Security Program Oversight Manual (NISPOM) compliance to critical technology protection, industry will serve a key role. Facility Security Officers will be able to:

- Identify critical assets at their facility and the security controls in place to protect each asset.
- Document business processes and supply chains.
- Develop security procedures identifying effective security controls and countermeasures.
- Monitor effectiveness of NISP and additional security procedures.

NAESOC Benefits

- A targeted response — exclusive for AE facilities.
- Additional opportunities to interact with DCSA.
- Tailored, frequent, information streams.
- Meaningful, adaptive, AE-specific security education and training.

NAESOC Teams

- **Response Team** addresses NISP-related questions for AE facilities and their GCAs.
- **Continuous Vetting & Facilities Team** addresses changed conditions and security violations.
- **Active Monitoring & Engagement Team** conducts virtual engagements, trainings, and in-person presentations for large groups (ISACs, FSO seminars, etc.)

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