

NCMSLive Virtual Training Series NAESOC Q&A 7 April 2021

- 1. Please define what technologies are considered critical technologies.
- A. See Industrial Base Technology List at: https://www.cdse.edu/documents/cdse/CI-JobAidSeries-IBTL.pdf
- 2. We've put in for a clearance upgrade from S to TS through NISS via an initial facility request. We initially put it in as a change. How do we find out who is responsible for processing our upgrade?
- A. Contact the NAESOC Knowledge Center @ Phone: 1-888-282-7682 option 7 or email: dcsa.naesoc.generalmailbox@mail.mil. Please be sure to include your CAGE code and facility name in the subject line so we can quickly assist.
- 3. Is there a "tailored" Self-Inspection Checklist available for Access-Elsewhere facilities?
- A. Not yet, but the new one coming out next year will allow you to easily tailor it to your specific needs. The current self-inspection handbook is a still a great tool and can be found here: https://www.cdse.edu/documents/cdse/self_inspect_handbook_nisp.pdf
- 4. Are there NAESOC resources (materials, literature, training, etc.) available to facilities not in the NAESOC?
- A. Yes. Visit the NAESOC homepage: https://www.dcsa.mil/mc/ctp/naesoc/ Another great resource is the CDSE FSO tool kit: https://www.cdse.edu/toolkits/fsos/index.html
- 5. Did I hear we're going to switch from Continuous Monitoring back to SVAs even for non-possessing?
- A. When DCSA returns to regular on-site visits with industry, we will be resuming the Security Vulnerability Assessments. IAW DCSA guidance, we will be conducting both Continuous Monitoring Engagements as well as eSVAs.
- 6. Will they be doing SVAs in lieu of continuous monitoring?
- A. No. NAESOC will continue conducting active monitoring (using automated tools) and compliance engagements. Compliance actions may be Continuous Monitoring Engagements (CME) and/or Security Vulnerability Assessments (eSVAs).
- 7. What is the frequency of SVAs under the NAESOC? Should we be requesting one if it's been well over 18 months?
- A. eSVA schedules will be determined based on risk evaluation of companies, including continuous monitoring information. The assessments will likely be less frequent than annually, because of the continuous monitoring. Companies are not required to request an eSVA.
- 8. These [NAESOC] webinars and training sound wonderful. I'm not Access-Elsewhere facility, but how do we get in on these offerings?!?
- A. Contact the NAESOC Knowledge Center @ Phone: 1-888-282-7682 option 7 or email: dcsa.naesoc.generalmailbox@mail.mil You can also sign-up for upcoming webinars on the CDSE website: https://www.cdse.edu/catalog/webinars/index.html and view previously recorded webinars: https://www.cdse.edu/catalog/webinars/webinar-archives.html.
- 9. Can we get a written list of general prerequisites to be considered for NAESOC?
- A. Assignment to the NAESOC is based on many factors; the main prerequisite is that facilities do not possess classified information on-site.
- 10. If we are moved into NAESOC does that require a changed condition to be submitted?
- A. No. If your facility is assigned to the NAESOC, the Facility Security Officer and Senior Management Official will be notified through a "Welcome Letter" via email.
- 11. Can a possessing facility with an assigned ISR still call NAESOC with questions?
- A. No. Contact your assigned ISR.
- 12. Julia mentioned the critical technology list is a bit of a moving target. Is the IBTL Industrial Base Technology List a good reference to get a general idea of critical technologies?
- A. Yes. See Industrial Base Technology List at: https://www.cdse.edu/documents/cdse/CI-JobAidSeries-IBTL.pdf
- 13. Can a cleared facility *request* or lobby for entry into the NAESOC?
- A. Yes. Assignment to the NAESOC is based on many factors; the main prerequisite is that facilities do not possess classified information on-site. If you believe your company is a good candidate for the NAESOC, you may relay that information to your Industrial Security Representative. However, it is the collaborative decision of the field office (all elements/directorates) whether to nominate your facility for transfer to the NAESOC.
- 14. What is the status of CUI and CMMC and DCSA oversight?
- A. CUI oversight was established on November 04, 2010 with the signing of Executive Order (E.O.) 13556.

 Cybersecurity Maturity Model Certification (CMMC) oversight will vary per company depending on size, sector and current level of compliance with NIST 800-171.DCSA oversight is active since October 1, 2019. Please continue to monitor the DCSA website (www.dcsa.mil) for updates to CUI and CMMC policy.
- 15. Are NAESOC companies eligible for Cogswell Awards?
- A. Yes
- 16. Reference messaging in NISS and email is it better to email first, send via NISS first, or do both simultaneously?

- A. NISS messaging is preferred due to superior encryption. Email is acceptable: dcsa.naesoc.generalmailbox@mail.mil. If you send a message through one medium (NISS message or email), there is no need to duplicate through the other medium.
- 17. Will CUI and CMMC requirements be incorporated in the new self-inspection checklist?
- A. Yes, CUI requirements will be incorporated into the new self-inspection checklist. CMMC will likely not be incorporated, but other resources will be available. Please monitor the DCSA website (www.dcsa.mil) for updates to CUI and CMMC policy.
- 18. If you have a personnel clearance that you are working on and are not getting answers/movement forward would we use the NAESOC or VROC or someone else?
- A. Personnel Clearance inquiries should be directed to the VROC: phone (888) 282-7682, select option #2 or email: DCSA.ncr.DCSA-dvd.mbx.askvroc@mail.mil
- 19. As a NAESOC company I conduct my security program just as if I had a geographically assigned ISR. Is this what I should be doing, or should I handle things differently?
- A. Yes. Continue your security program with NAESOC being your ISR.