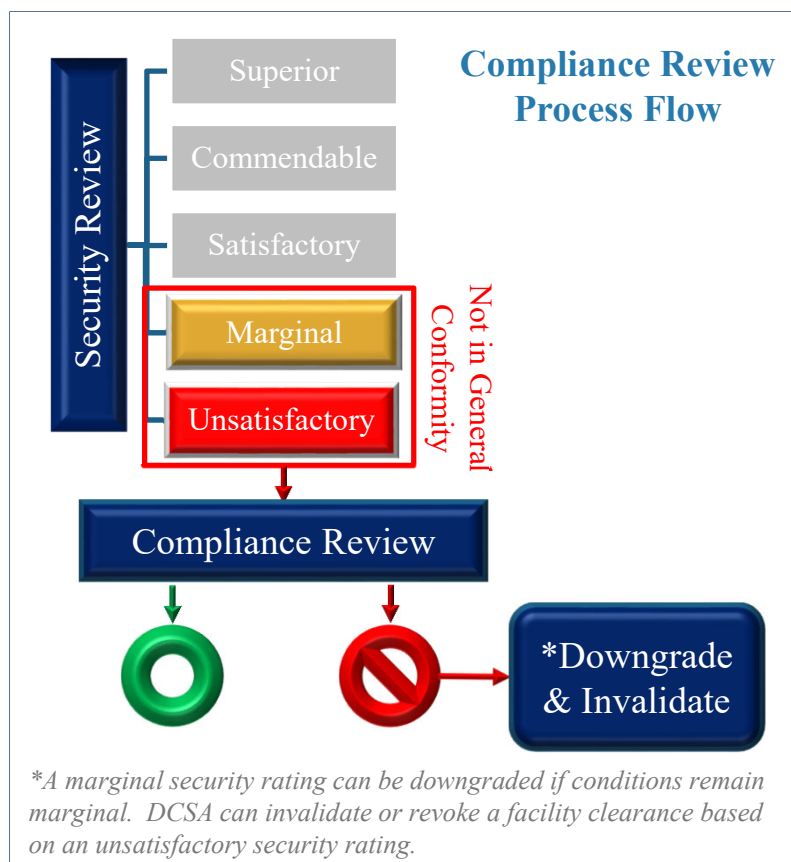


COMPLIANCE REVIEWS

Compliance Improvement Process

DCSA conducts **compliance reviews** when contractors receive marginal or unsatisfactory ratings during a security review. The compliance review takes place within 30 calendar days after the exit briefing for unsatisfactory security ratings and within 120 calendar days for marginal security ratings.

The purpose of the compliance review is to confirm the contractor has taken the necessary steps to correct issues identified during the security review and to ensure measures are in place to effectively protect classified information. If the contractor fails to take appropriate action, DCSA will consider an invalidation or revocation of the facility clearance.



Preparing for a Compliance Review

from the Contractor Perspective

- ❑ Take immediate steps to mitigate issues identified during the marginal or unsatisfactory security review
- ❑ Implement internal security processes and procedures to ensure mitigation is effective in protecting classified information and classified information systems
- ❑ Identify how newly implemented controls prevent any further decline of the security program and how management plans to provide the continued support necessary for an effective security program
- ❑ Provide a written response of corrective actions taken to mitigate vulnerabilities and administrative findings by the required DCSA suspense date
- ❑ Cooperate with DCSA personnel to schedule and participate in the compliance review
- ❑ Promptly respond to any issues, vulnerabilities, or administrative findings identified during the compliance review

