

SELF - INSPECTION HANDBOOK

FOR NISP CONTRACTORS





SELF-INSPECTION HANDBOOK FOR NISP CONTRACTORS

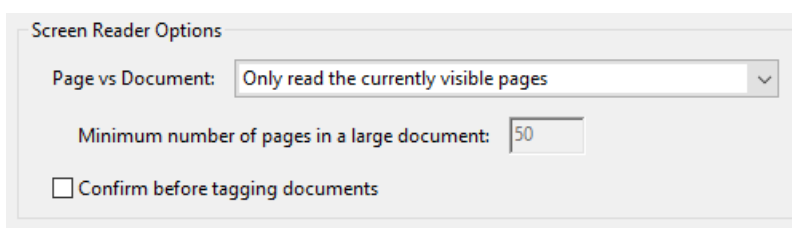
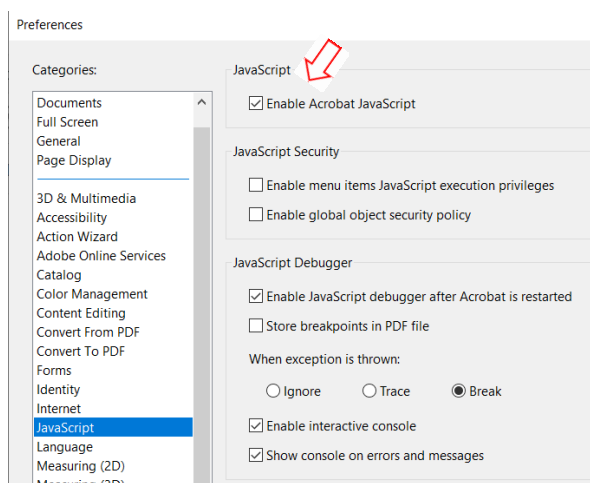
Section 1 - Overview

Section 2 - Risk Exposure

Section 3 - Inspection Checklists

Section 4 - Inspection Findings

Appendix 1 - Questions for Employees



Total Items:	Answered: Yes	No	NA	Not Answered
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117.07 - Procedures

Sec 117.07 Procedures

ID	32 CFR Ref:	Question:	YES	NO	N/A
7.001					
		<i>How Implemented/Notes:</i>			
7.002					
		<i>How Implemented/Notes:</i>			
7.003					
		<i>How Implemented/Notes:</i>			
7.004					
		<i>How Implemented/Notes:</i>			

Sec 117.07 Procedures

ID	32 CFR Ref:	Question:	YES	NO	N/A
7.005					
		<i>How Implemented/Notes:</i>			
7.006					
		<i>How Implemented/Notes:</i>			
7.007					
		<i>How Implemented/Notes:</i>			
7.008					
		<i>How Implemented/Notes:</i>			

Sec 117.07 Procedures					
ID	32 CFR Ref:	Question:	YES	NO	N/A
7.009					
		<i>How Implemented/Notes:</i>			
7.010					
		<i>How Implemented/Notes:</i>			
7.011					
		<i>How Implemented/Notes:</i>			
7.012					
		<i>How Implemented/Notes:</i>			

Sec 117.07 Procedures					
ID	32 CFR Ref:	Question:	YES	NO	N/A
7.013					
		<i>How Implemented/Notes:</i>			

Total Items:	Answered: Yes	No	NA	Not Answered
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117.08 - Reporting Requirements
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117.08 - Reporting Requirements					
ID	32 CFR Ref:	Question:	YES	NO	N/A
8.001					
		<i>How Implemented/Notes:</i>			
8.002					
		<i>How Implemented/Notes:</i>			
8.003					
		<i>How Implemented/Notes:</i>			
8.004					
		<i>How Implemented/Notes:</i>			

117.08 - Reporting Requirements					
ID	32 CFR Ref:	Question:	YES	NO	N/A
8.005					
		<i>How Implemented/Notes:</i>			
8.006					
		<i>How Implemented/Notes:</i>			
8.007					
		<i>How Implemented/Notes:</i>			
8.008					
		<i>How Implemented/Notes:</i>			

117.08 - Reporting Requirements					
ID	32 CFR Ref:	Question:	YES	NO	N/A
8.009					
		<i>How Implemented/Notes:</i>			
8.010					
		<i>How Implemented/Notes:</i>			
8.011					
		<i>How Implemented/Notes:</i>			
8.012					
		<i>How Implemented/Notes:</i>			

Section 117.08- Reporting Requirements					
ID	32 CFR Ref:	Question:	YES	NO	N/A
8.013					
		<i>How Implemented/Notes:</i>			
8.014					
		<i>How Implemented/Notes:</i>			
8.015					
		<i>How Implemented/Notes:</i>			
8.016					
		<i>How Implemented/Notes:</i>			

117.08 - Reporting Requirements					
ID	32 CFR Ref:	Question:	YES	NO	N/A
8.017					
		<i>How Implemented/Notes:</i>			
8.018					
		<i>How Implemented/Notes:</i>			
8.019					
		<i>How Implemented/Notes:</i>			

Total Items:	Answered: Yes	No	NA	Not Answered
117.09 - Entity eligibility determination for access...				

Sec 117.09 - Entity eligibility determination for access...					
ID	32 CFR Ref:	Question:	YES	NO	N/A
9.001					
		<i>How Implemented/Notes:</i>			
9.002					
		<i>How Implemented/Notes:</i>			
9.003					
		<i>How Implemented/Notes:</i>			
9.004					
		<i>How Implemented/Notes:</i>			

Sec 117.09 - Entity eligibility determination for access...					
ID	32 CFR Ref:	Question:	YES	NO	N/A
9.005					
		<i>How Implemented/Notes:</i>			
9.006					
		<i>How Implemented/Notes:</i>			

Total Items:	Answered: Yes	No	NA	Not Answered
117.10 - (Contractor) eligibility for access to classified...				

Sec 117.10 - Determination of eligibility for access...					
ID	32 CFR Ref:	Question:	YES	NO	N/A
10.001					
		<i>How Implemented/Notes:</i>			
10.002					
		<i>How Implemented/Notes:</i>			
10.003					
		<i>How Implemented/Notes:</i>			
10.004					
		<i>How Implemented/Notes:</i>			

Sec 117.10 - Determination of eligibility for access...					
ID	32 CFR Ref:	Question:	YES	NO	N/A
10.005					
		<i>How Implemented/Notes:</i>			
10.006					
		<i>How Implemented/Notes:</i>			
10.007					
		<i>How Implemented/Notes:</i>			
10.008					
		<i>How Implemented/Notes:</i>			

Sec 117.10 - Determination of eligibility for access...					
ID	32 CFR Ref:	Question:	YES	NO	N/A
10.009					
		<i>How Implemented/Notes:</i>			
10.010					
		<i>How Implemented/Notes:</i>			
10.011					
		<i>How Implemented/Notes:</i>			

Total Items:	Answered: Yes	No	NA	Not Answered
117.11 - Foreign Ownership, Control, or Influence (FOCI)				

Sec 117.11 - Foreign Ownership, Control, or Influence (FOCI)					
ID	32 CFR Ref:	Question:	YES	NO	N/A
11.001					
		<i>How Implemented/Notes:</i>			
11.002					
		<i>How Implemented/Notes:</i>			
11.003					
		<i>How Implemented/Notes:</i>			
11.004					
		<i>How Implemented/Notes:</i>			

Sec 117.11 - Foreign Ownership, Control, or Influence (FOCI)					
ID	32 CFR Ref:	Question:	YES	NO	N/A
11.005					
		<i>How Implemented/Notes:</i>			
11.006					
		<i>How Implemented/Notes:</i>			
11.007					
		<i>How Implemented/Notes:</i>			
11.008					
		<i>How Implemented/Notes:</i>			

Total Items:	Answered: Yes	No	NA	Not Answered
117.12 - Security training and briefings				

Sec 117.12 - Security training and briefings					
ID	32 CFR Ref:	Question:	YES	NO	N/A
12.001					
		<i>How Implemented/Notes:</i>			
12.002					
		<i>How Implemented/Notes:</i>			
12.003					
		<i>How Implemented/Notes:</i>			
12.004					
		<i>How Implemented/Notes:</i>			

Sec 117.12 - Security training and briefings					
ID	32 CFR Ref:	Question:	YES	NO	N/A
12.005					
		<i>How Implemented/Notes:</i>			
12.006					
		<i>How Implemented/Notes:</i>			
12.007					
		<i>How Implemented/Notes:</i>			
12.008					
		<i>How Implemented/Notes:</i>			

Sec 117.12 - Security training and briefings					
ID	32 CFR Ref:	Question:	YES	NO	N/A
12.009					
		<i>How Implemented/Notes:</i>			
12.010					
		<i>How Implemented/Notes:</i>			

Total Items:	Answered: Yes	No	NA	Not Answered
117.13 - Classification				

Section 117.13 - Classification					
ID	32 CFR Ref:	Question:	YES	NO	N/A
13.001					
		<i>How Implemented/Notes:</i>			
13.002					
		<i>How Implemented/Notes:</i>			
13.003					
		<i>How Implemented/Notes:</i>			
13.004					
		<i>How Implemented/Notes:</i>			

Section 117.13 - Classification					
ID	32 CFR Ref:	Question:	YES	NO	N/A
13.005					
		<i>How Implemented/Notes:</i>			
13.006					
		<i>How Implemented/Notes:</i>			
13.007					
		<i>How Implemented/Notes:</i>			

Total Items:	Answered: Yes	No	NA	Not Answered
117.16 - Visits and meetings				

Section 117.16 - Visits and meetings					
ID	32 CFR Ref:	Question:	YES	NO	N/A
16.001					
		<i>How Implemented/Notes:</i>			
16.002					
		<i>How Implemented/Notes:</i>			
16.003					
		<i>How Implemented/Notes:</i>			
16.004					
		<i>How Implemented/Notes:</i>			

Section 117.16 - Visits and meetings					
ID	32 CFR Ref:	Question:	YES	NO	N/A
16.005					
		<i>How Implemented/Notes:</i>			
16.006					
		<i>How Implemented/Notes:</i>			
16.007					
		<i>How Implemented/Notes:</i>			
16.008					
		<i>How Implemented/Notes:</i>			

Section 117.16 - Visits and meetings					
ID	32 CFR Ref:	Question:	YES	NO	N/A
16.009					
		<i>How Implemented/Notes:</i>			
16.010					
		<i>How Implemented/Notes:</i>			
16.011					
		<i>How Implemented/Notes:</i>			
16.012					
		<i>How Implemented/Notes:</i>			

Section 117.16 - Visits and meetings					
ID	32 CFR Ref:	Question:	YES	NO	N/A
16.013					
		<i>How Implemented/Notes:</i>			
16.014					
		<i>How Implemented/Notes:</i>			

Total Items:	Answered: Yes	No	NA	Not Answered
117.14 - Marking requirements				

Sec 117.14 - Marking requirements

14.001					
		<i>How Implemented/Notes:</i>			
14.002					
		<i>How Implemented/Notes:</i>			
14.003					
		<i>How Implemented/Notes:</i>			
14.004					
		<i>How Implemented/Notes:</i>			

Total Items:	Answered: Yes	No	NA	Not Answered
117.15(a) - General Safeguarding				

Sec 117.15(a) - General safeguarding					
ID	32 CFR Ref:	Question:	YES	NO	N/A
15a.001					
		<i>How Implemented/Notes:</i>			
15a.002					
		<i>How Implemented/Notes:</i>			
15a.003					
		<i>How Implemented/Notes:</i>			
15a.004					
		<i>How Implemented/Notes:</i>			

Sec 117.15(a) - General safeguarding					
ID	32 CFR Ref:	Question:	YES	NO	N/A
15a.005					
		<i>How Implemented/Notes:</i>			
15a.006					
		<i>How Implemented/Notes:</i>			
15a.007					
		<i>How Implemented/Notes:</i>			

Total Items:	Answered: Yes	No	NA	Not Answered
117.15(b) - Standards for Security Equipment				

Sec 117.15(b) Standards for Security Equipment					
ID	32 CFR Ref:	Question:	YES	NO	N/A
15b.001					
		<i>How Implemented/Notes:</i>			

Total Items:	Answered: Yes	No	NA	Not Answered
117.15(c) - Storage				

Sec 117.15(c) - Storage					
ID	32 CFR Ref:	Question:	YES	NO	N/A
15c.001					
		<i>How Implemented/Notes:</i>			
15c.002					
		<i>How Implemented/Notes:</i>			
15c.003					
		<i>How Implemented/Notes:</i>			
15c.004					
		<i>How Implemented/Notes:</i>			

Sec 117.15(c) Storage					
ID	32 CFR Ref:	Question:	YES	NO	N/A
15c.005					
		<i>How Implemented/Notes:</i>			

Total Items:	Answered: Yes	No	NA	Not Answered
117.15(d) - Intrusion Detection System (IDS)				

Sec 117.15(d) - Intrusion Detection System (IDS)					
ID	32 CFR Ref:	Question:	YES	NO	N/A
15d.001					
		<i>How Implemented/Notes:</i>			
15d.002					
		<i>How Implemented/Notes:</i>			
15d.003					
		<i>How Implemented/Notes:</i>			
15d.004					
		<i>How Implemented/Notes:</i>			

Sec 117.15(d) - Intrusion Detection System (IDS)					
ID	32 CFR Ref:	Question:	YES	NO	N/A
15d.005					
		<i>How Implemented/Notes:</i>			
15d.006					
		<i>How Implemented/Notes:</i>			
15d.007					
		<i>How Implemented/Notes:</i>			
15d.008					
		<i>How Implemented/Notes:</i>			

Sec 117.15(d) - Intrusion Detection System (IDS)					
ID	32 CFR Ref:	Question:	YES	NO	N/A
15d.009					
		<i>How Implemented/Notes:</i>			
15d.010					
		<i>How Implemented/Notes:</i>			
15d.011					
		<i>How Implemented/Notes:</i>			
15d.012					
		<i>How Implemented/Notes:</i>			

Section 117.15(d) - Intrusion Detection System (IDS)					
ID	32 CFR Ref:	Question:	YES	NO	N/A
15d.013					
		<i>How Implemented/Notes:</i>			
15d.014					
		<i>How Implemented/Notes:</i>			

Total Items:	Answered: Yes	No	NA	Not Answered
117.15(e) - Information Controls				

Sec 117.15(e) - Information Controls					
ID	32 CFR Ref:	Question:	YES	NO	N/A
15e.001					
		<i>How Implemented/Notes:</i>			
15e.002					
		<i>How Implemented/Notes:</i>			
15e.003					
		<i>How Implemented/Notes:</i>			
15e.004					
		<i>How Implemented/Notes:</i>			

Sec 117.15(e) - Information Controls					
ID	32 CFR Ref:	Question:	YES	NO	N/A
15e.005					
		<i>How Implemented/Notes:</i>			
15e.006					
		<i>How Implemented/Notes:</i>			
15e.007					
		<i>How Implemented/Notes:</i>			
15e.008					
		<i>How Implemented/Notes:</i>			

Sec 117.15(e) - Information Controls					
ID	32 CFR Ref:	Question:	YES	NO	N/A
15e.009					
		<i>How Implemented/Notes:</i>			
15e.010					
		<i>How Implemented/Notes:</i>			
15e.011					
		<i>How Implemented/Notes:</i>			

Total Items:	Answered: Yes	No	NA	Not Answered
117.15(f) - Transmission of classified information				

Sec 117.15(f) - Transmission of classified information					
ID	32 CFR Ref:	Question:	YES	NO	N/A
15f.001					
		<i>How Implemented/Notes:</i>			
15f.002					
		<i>How Implemented/Notes:</i>			
15f.003					
		<i>How Implemented/Notes:</i>			
15f.004					
		<i>How Implemented/Notes:</i>			

Sec 117.15(f) - Transmission of classified information					
ID	32 CFR Ref:	Question:	YES	NO	N/A
15f.005					
		<i>How Implemented/Notes:</i>			
15f.006					
		<i>How Implemented/Notes:</i>			
15f.007					
		<i>How Implemented/Notes:</i>			
15f.008					
		<i>How Implemented/Notes:</i>			

Sec 117.15(f) - Transmission of classified information					
ID	32 CFR Ref:	Question:	YES	NO	N/A
15f.009					
		<i>How Implemented/Notes:</i>			
15f.010					
		<i>How Implemented/Notes:</i>			
15f.011					
		<i>How Implemented/Notes:</i>			
15f.012					
		<i>How Implemented/Notes:</i>			

Sec 117.15(f) Transmission of classified information					
ID	32 CFR Ref:	Question:	YES	NO	N/A
15f.013					
		<i>How Implemented/Notes:</i>			

Total Items:	Answered: Yes	No	NA	Not Answered
117.15(g) - Destruction				

Sec 117.15(g) - Destruction					
ID	32 CFR Ref:	Question:	YES	NO	N/A
15g.001					
		<i>How Implemented/Notes:</i>			
15g.002					
		<i>How Implemented/Notes:</i>			
15g.003					
		<i>How Implemented/Notes:</i>			

Total Items:	Answered: Yes	No	NA	Not Answered
117.15(h) - Disclosure				

Sec 117.15(h) - Disclosure					
ID	32 CFR Ref:	Question:	YES	NO	N/A
15h.001					
		<i>How Implemented/Notes:</i>			
15h.002					
		<i>How Implemented/Notes:</i>			
15h.003					
		<i>How Implemented/Notes:</i>			

Total Items:	Answered: Yes	No	NA	Not Answered
117.15(i) - Disposition				

Section 117.15(i) - Disposition					
ID	32 CFR Ref:	Question:	YES	NO	N/A
15i.001					
		<i>How Implemented/Notes:</i>			
15i.002					
		<i>How Implemented/Notes:</i>			

Total Items:	Answered: Yes	No	NA	Not Answered
117.15(j) - Retention				

Sec 117.15(j) - Retention					
ID	32 CFR Ref:	Question:	YES	NO	N/A
15j.001					
		<i>How Implemented/Notes:</i>			

Total Items:	Answered: Yes	No	NA	Not Answered
117.15(k) - Termination of security agreement				

Section 117.15(k) - Termination of security agreement					
ID	32 CFR Ref:	Question:	YES	NO	N/A
15k.001					
		<i>How Implemented/Notes:</i>			
15k.002					
		<i>How Implemented/Notes:</i>			

Total Items:	Answered: Yes	No	NA	Not Answered
117.15(l) - Safeguarding CUI				

Section 117.15(l) - Safeguarding CUI					
ID	32 CFR Ref:	Question:	YES	NO	N/A
15I.001					
		<i>How Implemented/Notes:</i>			

Sec 117.14 - Marking requirements					
14.005					
		<i>How Implemented/Notes:</i>			
14.006					
		<i>How Implemented/Notes:</i>			
14.007					
		<i>How Implemented/Notes:</i>			
14.008					
		<i>How Implemented/Notes:</i>			

Sec 117.14 - Marking requirements					
14.009					
		<i>How Implemented/Notes:</i>			
14.010					
		<i>How Implemented/Notes:</i>			
14.011					
		<i>How Implemented/Notes:</i>			

Total Items:	Answered: Yes	No	NA	Not Answered
117.17 - Subcontracting				

Sec 117.17 - Subcontracting					
ID	32 CFR Ref:	Question:	YES	NO	N/A
17.001					
		<i>How Implemented/Notes:</i>			
17.002					
		<i>How Implemented/Notes:</i>			
17.003					
		<i>How Implemented/Notes:</i>			
17.004					
		<i>How Implemented/Notes:</i>			

Sec 117.17 - Subcontracting					
ID	32 CFR Ref:	Question:	YES	NO	N/A
17.005					
		<i>How Implemented/Notes:</i>			
17.006					
		<i>How Implemented/Notes:</i>			
17.007					
		<i>How Implemented/Notes:</i>			

Total Items:	Answered: Yes	No	NA	Not Answered
117.18 - Information system security				

Sec 117.18 - Information system security					
ID	32 CFR Ref:	Question:	YES	NO	N/A
18.001					
		<i>How Implemented/Notes:</i>			
18.002					
		<i>How Implemented/Notes:</i>			
18.003					
		<i>How Implemented/Notes:</i>			
18.004					
		<i>How Implemented/Notes:</i>			

Sec 117.18 - Information system security					
ID	32 CFR Ref:	Question:	YES	NO	N/A
18.005					
		<i>How Implemented/Notes:</i>			
18.006					
		<i>How Implemented/Notes:</i>			
18.007					
		<i>How Implemented/Notes:</i>			
18.008					
		<i>How Implemented/Notes:</i>			

Sec 117.18 - Information system security					
ID	32 CFR Ref:	Question:	YES	NO	N/A
18.009					
		<i>How Implemented/Notes:</i>			
18.010					
		<i>How Implemented/Notes:</i>			
18.011					
		<i>How Implemented/Notes:</i>			
18.012					
		<i>How Implemented/Notes:</i>			

Sec 117.18 - Information system security					
ID	32 CFR Ref:	Question:	YES	NO	N/A
18.013					
		<i>How Implemented/Notes:</i>			
18.014					
		<i>How Implemented/Notes:</i>			
18.015					
		<i>How Implemented/Notes:</i>			
18.016					
		<i>How Implemented/Notes:</i>			

Sec 117.18 - Information system security					
ID	32 CFR Ref:	Question:	YES	NO	N/A
18.017					
		<i>How Implemented/Notes:</i>			
18.018					
		<i>How Implemented/Notes:</i>			
18.019					
		<i>How Implemented/Notes:</i>			
18.020					
		<i>How Implemented/Notes:</i>			

Total Items:	Answered: Yes	No	NA	Not Answered
117.19 - International security requirements				

Sec 117.19 - International security requirements					
ID	32 CFR Ref:	Question:	YES	NO	N/A
19.001					
		<i>How Implemented/Notes:</i>			
19.002					
		<i>How Implemented/Notes:</i>			
19.003					
		<i>How Implemented/Notes:</i>			
19.004					
		<i>How Implemented/Notes:</i>			

Sec 117.19 - International security requirements					
ID	32 CFR Ref:	Question:	YES	NO	N/A
19.005					
		<i>How Implemented/Notes:</i>			
19.006					
		<i>How Implemented/Notes:</i>			
19.007					
		<i>How Implemented/Notes:</i>			
19.008					
		<i>How Implemented/Notes:</i>			

Sec 117.19 - International security requirements					
ID	32 CFR Ref:	Question:	YES	NO	N/A
19.009					
		<i>How Implemented/Notes:</i>			
19.010					
		<i>How Implemented/Notes:</i>			
19.011					
		<i>How Implemented/Notes:</i>			
19.012					
		<i>How Implemented/Notes:</i>			

Sec 117.19 - International security requirements					
ID	32 CFR Ref:	Question:	YES	NO	N/A
19.013					
		<i>How Implemented/Notes:</i>			
19.014					
		<i>How Implemented/Notes:</i>			
19.015					
		<i>How Implemented/Notes:</i>			
19.016					
		<i>How Implemented/Notes:</i>			

Sec 117.19 - International security requirements					
ID	32 CFR Ref:	Question:	YES	NO	N/A
19.017					
		<i>How Implemented/Notes:</i>			
19.018					
		<i>How Implemented/Notes:</i>			
19.019					
		<i>How Implemented/Notes:</i>			
19.020					
		<i>How Implemented/Notes:</i>			

Sec 117.19 - International security requirements					
ID	32 CFR Ref:	Question:	YES	NO	N/A
19.021					
		<i>How Implemented/Notes:</i>			
19.022					
		<i>How Implemented/Notes:</i>			
19.023					
		<i>How Implemented/Notes:</i>			
19.024					
		<i>How Implemented/Notes:</i>			

Sec 117.19 - International security requirements					
ID	32 CFR Ref:	Question:	YES	NO	N/A
19.025					
		<i>How Implemented/Notes:</i>			
19.026					
		<i>How Implemented/Notes:</i>			
19.027					
		<i>How Implemented/Notes:</i>			
19.028					
		<i>How Implemented/Notes:</i>			

Sec 117.19 - International security requirements					
ID	32 CFR Ref:	Question:	YES	NO	N/A
19.029					
		<i>How Implemented/Notes:</i>			
19.030					
		<i>How Implemented/Notes:</i>			
19.031					
		<i>How Implemented/Notes:</i>			

Total Items:	Answered: Yes	No	NA	Not Answered
117.20 - Critical Nuclear Weapon Design Info...				

Sec 117.20 - Critical Nuclear Weapon Design Info...					
ID	32 CFR Ref:	Question:	YES	NO	N/A
20.001					
		<i>How Implemented/Notes:</i>			
20.002					
		<i>How Implemented/Notes:</i>			
20.003					
		<i>How Implemented/Notes:</i>			
20.004					
		<i>How Implemented/Notes:</i>			

Sec 117.20 - Critical Nuclear Weapon Design Info...					
ID	32 CFR Ref:	Question:	YES	NO	N/A
20.005					
		<i>How Implemented/Notes:</i>			
20.006					
		<i>How Implemented/Notes:</i>			
20.007					
		<i>How Implemented/Notes:</i>			
20.008					
		<i>How Implemented/Notes:</i>			

Total Items:	Answered: Yes	No	NA	Not Answered
117.21 - COMSEC				

Sec 117.21 - COMSEC					
ID	32 CFR Ref:	Question:	YES	NO	N/A
21.001					
		<i>How Implemented/Notes:</i>			
21.002					
		<i>How Implemented/Notes:</i>			
21.003					
		<i>How Implemented/Notes:</i>			
21.004					
		<i>How Implemented/Notes:</i>			

Section 117.21 - COMSEC					
ID	32 CFR Ref:	Question:	YES	NO	N/A
21.005					
		<i>How Implemented/Notes:</i>			
21.006					
		<i>How Implemented/Notes:</i>			

Issue #	Type	Status	Question ID	32 CFR Ref (or as stated)
Finding/Observation				
Resolution				

Issue #	Type	Status	Question ID	32 CFR Ref (or as stated)
Finding/Observation				
Resolution				

Issue #	Type	Status	Question ID	32 CFR Ref (or as stated)
Finding/Observation				
Resolution				