

SELF-INSPECTION HANDBOOK

FOR NISP CONTRACTORS





SELF-INSPECTION HANDBOOK FOR NISP CONTRACTORS

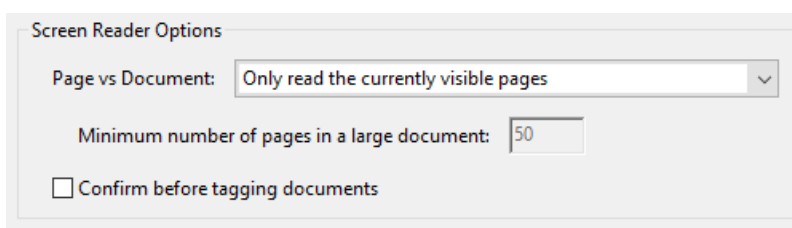
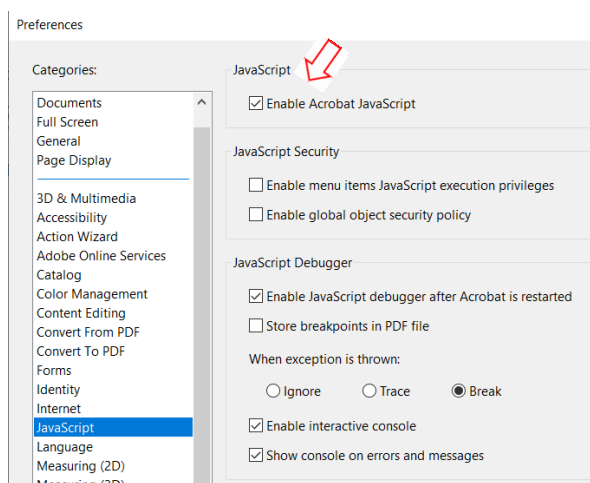
Section 1 - Overview

Section 2 - Risk Exposure

Section 3 - Inspection Checklists

Section 4 - Inspection Findings

Appendix 1 - Questions for Employees



| Total Items: | Answered: Yes | No | NA | Not Answered |
|--------------|---------------|----|----|--------------|
|--------------|---------------|----|----|--------------|

| |
|---------------------|
| 117.07 - Procedures |
|---------------------|

Sec 117.07 Procedures

| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
|-------|-------------|-------------------------------|-----|----|-----|
| 7.001 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 7.002 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 7.003 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 7.004 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

Sec 117.07 Procedures

| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
|-------|-------------|-------------------------------|-----|----|-----|
| 7.005 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 7.006 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 7.007 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 7.008 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.07 Procedures | | | | | |
|-----------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 7.009 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 7.010 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 7.011 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 7.012 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.07 Procedures | | | | | |
|-----------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 7.013 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Total Items: | Answered: Yes | No | NA | Not Answered |
|---------------------------------|---------------|----|----|--------------|
| 117.08 - Reporting Requirements | | | | |

| 117.08 - Reporting Requirements | | | | | |
|---------------------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 8.001 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 8.002 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 8.003 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 8.004 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| 117.08 - Reporting Requirements | | | | | |
|---------------------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 8.005 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 8.006 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 8.007 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 8.008 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| 117.08 - Reporting Requirements | | | | | |
|---------------------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 8.009 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 8.010 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 8.011 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 8.012 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Section 117.08- Reporting Requirements | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 8.013 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 8.014 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 8.015 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 8.016 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| 117.08 - Reporting Requirements | | | | | |
|---------------------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 8.017 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 8.018 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 8.019 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Total Items: | Answered: Yes | No | NA | Not Answered |
|---|---------------|----|----|--------------|
| 117.09 - Entity eligibility determination for access... | | | | |

| Sec 117.09 - Entity eligibility determination for access... | | | | | |
|---|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 9.001 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 9.002 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 9.003 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 9.004 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.09 - Entity eligibility determination for access... | | | | | |
|---|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 9.005 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 9.006 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Total Items: | Answered: Yes | No | NA | Not Answered |
|---|---------------|----|----|--------------|
| 117.10 - (Contractor) eligibility for access to classified... | | | | |

| Sec 117.10 - Determination of eligibility for access... | | | | | |
|---|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 10.001 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 10.002 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 10.003 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 10.004 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.10 - Determination of eligibility for access... | | | | | |
|---|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 10.005 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 10.006 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 10.007 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 10.008 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.10 - Determination of eligibility for access... | | | | | |
|---|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 10.009 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 10.010 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 10.011 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Total Items: | Answered: Yes | No | NA | Not Answered |
|--|---------------|----|----|--------------|
| 117.11 - Foreign Ownership, Control, or Influence (FOCI) | | | | |

| Sec 117.11 - Foreign Ownership, Control, or Influence (FOCI) | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 11.001 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 11.002 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 11.003 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 11.004 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.11 - Foreign Ownership, Control, or Influence (FOCI) | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 11.005 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 11.006 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 11.007 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 11.008 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Total Items: | Answered: Yes | No | NA | Not Answered |
|--|---------------|----|----|--------------|
| 117.12 - Security training and briefings | | | | |

| Sec 117.12 - Security training and briefings | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 12.001 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 12.002 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 12.003 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 12.004 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.12 - Security training and briefings | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 12.005 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 12.006 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 12.007 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 12.008 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.12 - Security training and briefings | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 12.009 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 12.010 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Total Items: | Answered: Yes | No | NA | Not Answered |
|-------------------------|---------------|----|----|--------------|
| 117.13 - Classification | | | | |

| Section 117.13 - Classification | | | | | |
|---------------------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 13.001 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 13.002 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 13.003 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 13.004 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Section 117.13 - Classification | | | | | |
|---------------------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 13.005 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 13.006 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 13.007 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Total Items: | Answered: Yes | No | NA | Not Answered |
|------------------------------|---------------|----|----|--------------|
| 117.16 - Visits and meetings | | | | |

| Section 117.16 - Visits and meetings | | | | | |
|--------------------------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 16.001 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 16.002 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 16.003 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 16.004 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Section 117.16 - Visits and meetings | | | | | |
|--------------------------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 16.005 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 16.006 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 16.007 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 16.008 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Section 117.16 - Visits and meetings | | | | | |
|--------------------------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 16.009 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 16.010 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 16.011 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 16.012 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Section 117.16 - Visits and meetings | | | | | |
|--------------------------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 16.013 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 16.014 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Total Items: | Answered: Yes | No | NA | Not Answered |
|-------------------------------|---------------|----|----|--------------|
| 117.14 - Marking requirements | | | | |

Sec 117.14 - Marking requirements

| 14.001 | | | | | |
|--------|--|-------------------------------|--|--|--|
| | | <i>How Implemented/Notes:</i> | | | |
| 14.002 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 14.003 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 14.004 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.14 - Marking requirements | | | | | |
|-----------------------------------|--|-------------------------------|--|--|--|
| | | | | | |
| 14.005 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 14.006 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 14.007 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 14.008 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.14 - Marking requirements | | | | | |
|-----------------------------------|--|-------------------------------|--|--|--|
| | | | | | |
| 14.009 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 14.010 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 14.011 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Total Items: | Answered: Yes | No | NA | Not Answered |
|----------------------------------|---------------|----|----|--------------|
| 117.15(a) - General Safeguarding | | | | |

| Sec 117.15(a) - General safeguarding | | | | | |
|--------------------------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 15a.001 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15a.002 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15a.003 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15a.004 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.15(a) - General safeguarding | | | | | |
|--------------------------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 15a.005 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15a.006 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15a.007 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Total Items: | Answered: Yes | No | NA | Not Answered |
|--|---------------|----|----|--------------|
| 117.15(b) - Standards for Security Equipment | | | | |

| Sec 117.15(b) Standards for Security Equipment | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 15b.001 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Total Items: | Answered: Yes | No | NA | Not Answered |
|---------------------|---------------|----|----|--------------|
| 117.15(c) - Storage | | | | |

| Sec 117.15(c) - Storage | | | | | |
|-------------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 15c.001 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15c.002 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15c.003 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15c.004 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.15(c) Storage | | | | | |
|-----------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 15c.005 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Total Items: | Answered: Yes | No | NA | Not Answered |
|--|---------------|----|----|--------------|
| 117.15(d) - Intrusion Detection System (IDS) | | | | |

| Sec 117.15(d) - Intrusion Detection System (IDS) | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 15d.001 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15d.002 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15d.003 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15d.004 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.15(d) - Intrusion Detection System (IDS) | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 15d.005 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15d.006 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15d.007 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15d.008 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.15(d) - Intrusion Detection System (IDS) | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 15d.009 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15d.010 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15d.011 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15d.012 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Section 117.15(d) - Intrusion Detection System (IDS) | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 15d.013 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15d.014 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Total Items: | Answered: Yes | No | NA | Not Answered |
|----------------------------------|---------------|----|----|--------------|
| 117.15(e) - Information Controls | | | | |

| Sec 117.15(e) - Information Controls | | | | | |
|--------------------------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 15e.001 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15e.002 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15e.003 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15e.004 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.15(e) - Information Controls | | | | | |
|--------------------------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 15e.005 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15e.006 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15e.007 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15e.008 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.15(e) - Information Controls | | | | | |
|--------------------------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 15e.009 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15e.010 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15e.011 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Total Items: | Answered: Yes | No | NA | Not Answered |
|--|---------------|----|----|--------------|
| 117.15(f) - Transmission of classified information | | | | |

| Sec 117.15(f) - Transmission of classified information | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 15f.001 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15f.002 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15f.003 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15f.004 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.15(f) - Transmission of classified information | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 15f.005 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15f.006 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15f.007 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15f.008 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.15(f) - Transmission of classified information | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 15f.009 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15f.010 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15f.011 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15f.012 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.15(f) Transmission of classified information | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 15f.013 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Total Items: | Answered: Yes | No | NA | Not Answered |
|-------------------------|---------------|----|----|--------------|
| 117.15(g) - Destruction | | | | |

| Sec 117.15(g) - Destruction | | | | | |
|-----------------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 15g.001 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15g.002 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15g.003 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Total Items: | Answered: Yes | No | NA | Not Answered |
|------------------------|---------------|----|----|--------------|
| 117.15(h) - Disclosure | | | | |

| Sec 117.15(h) - Disclosure | | | | | |
|----------------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 15h.001 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15h.002 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15h.003 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Total Items: | Answered: Yes | No | NA | Not Answered |
|-------------------------|---------------|----|----|--------------|
| 117.15(i) - Disposition | | | | |

| Section 117.15(i) - Disposition | | | | | |
|---------------------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 15i.001 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15i.002 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Total Items: | Answered: Yes | No | NA | Not Answered |
|-----------------------|---------------|----|----|--------------|
| 117.15(j) - Retention | | | | |

| Sec 117.15(j) - Retention | | | | | |
|---------------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 15j.001 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Total Items: | Answered: Yes | No | NA | Not Answered |
|---|---------------|----|----|--------------|
| 117.15(k) - Termination of security agreement | | | | |

| Section 117.15(k) - Termination of security agreement | | | | | |
|---|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 15k.001 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 15k.002 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Total Items: | Answered: Yes | No | NA | Not Answered |
|------------------------------|---------------|----|----|--------------|
| 117.15(l) - Safeguarding CUI | | | | |

| Section 117.15(l) - Safeguarding CUI | | | | | |
|--------------------------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 15I.001 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Total Items: | Answered: Yes | No | NA | Not Answered |
|-------------------------|---------------|----|----|--------------|
| 117.17 - Subcontracting | | | | |

| Sec 117.17 - Subcontracting | | | | | |
|-----------------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 17.001 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 17.002 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 17.003 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 17.004 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.17 - Subcontracting | | | | | |
|-----------------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 17.005 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 17.006 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 17.007 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Total Items: | Answered: Yes | No | NA | Not Answered |
|--------------------------------------|---------------|----|----|--------------|
| 117.18 - Information system security | | | | |

| Sec 117.18 - Information system security | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 18.001 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 18.002 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 18.003 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 18.004 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.18 - Information system security | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 18.005 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 18.006 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 18.007 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 18.008 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.18 - Information system security | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 18.009 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 18.010 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 18.011 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 18.012 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.18 - Information system security | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 18.013 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 18.014 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 18.015 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 18.016 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.18 - Information system security | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 18.017 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 18.018 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 18.019 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 18.020 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Total Items: | Answered: Yes | No | NA | Not Answered |
|--|---------------|----|----|--------------|
| 117.19 - International security requirements | | | | |

| Sec 117.19 - International security requirements | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 19.001 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 19.002 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 19.003 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 19.004 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.19 - International security requirements | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 19.005 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 19.006 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 19.007 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 19.008 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.19 - International security requirements | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 19.009 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 19.010 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 19.011 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 19.012 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.19 - International security requirements | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 19.013 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 19.014 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 19.015 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 19.016 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.19 - International security requirements | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 19.017 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 19.018 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 19.019 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 19.020 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.19 - International security requirements | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 19.021 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 19.022 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 19.023 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 19.024 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.19 - International security requirements | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 19.025 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 19.026 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 19.027 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 19.028 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.19 - International security requirements | | | | | |
|--|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 19.029 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 19.030 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 19.031 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Total Items: | Answered: Yes | No | NA | Not Answered |
|---|---------------|----|----|--------------|
| 117.20 - Critical Nuclear Weapon Design Info... | | | | |

| Sec 117.20 - Critical Nuclear Weapon Design Info... | | | | | |
|---|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 20.001 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 20.002 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 20.003 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 20.004 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Sec 117.20 - Critical Nuclear Weapon Design Info... | | | | | |
|---|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 20.005 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 20.006 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 20.007 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 20.008 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Total Items: | Answered: Yes | No | NA | Not Answered |
|-----------------|---------------|----|----|--------------|
| 117.21 - COMSEC | | | | |

| Sec 117.21 - COMSEC | | | | | |
|---------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 21.001 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 21.002 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 21.003 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 21.004 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Section 117.21 - COMSEC | | | | | |
|-------------------------|-------------|-------------------------------|-----|----|-----|
| ID | 32 CFR Ref: | Question: | YES | NO | N/A |
| 21.005 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |
| 21.006 | | | | | |
| | | <i>How Implemented/Notes:</i> | | | |

| Issue # | Type | Status | Question ID | 32 CFR Ref (or as stated) |
|---------------------|------|--------|-------------|---------------------------|
| Finding/Observation | | | | |
| Resolution | | | | |

| Issue # | Type | Status | Question ID | 32 CFR Ref (or as stated) |
|---------------------|------|--------|-------------|---------------------------|
| Finding/Observation | | | | |
| Resolution | | | | |

| Issue # | Type | Status | Question ID | 32 CFR Ref (or as stated) |
|---------------------|------|--------|-------------|---------------------------|
| Finding/Observation | | | | |
| Resolution | | | | |