



United States
**Office of
Personnel Management**

Center for Federal Investigative Services
Federal Investigations Processing Center
Boyers, Pennsylvania 16018-0618

In Reply Refer To:

Your Reference:

Federal Investigations Notice

Notice No. 05-04

Date: September 16, 2005

SUBJECT: Reinvestigation Products for Positions Requiring Q, Top Secret or SCI Access

According to Standard C of the *Investigative Standards for Background Investigations for Access to Classified Information*, established as a result of Executive Order 12968, individuals with "Q", Top Secret (TS) or Sensitive Compartmented Information (SCI) access are subject to periodic reinvestigations at any time following the completion of, but no later than five years from the date of the previous investigation.

Based upon recommendations of the personnel security community, the President approved amendments to Standard C on December 11, 2004. These amendments altered the scope of periodic reinvestigations by eliminating the absolute requirement for coverage of references and neighborhoods in cases when no information of security concern is admitted or developed. Standard C now permits a phased reinvestigation approach that includes these contacts only when security concerns are present. In response to this change, OPM has developed a new product. Beginning October 1, 2005, agencies will have two products to choose from when reinvestigating individuals for Q, Top Secret or SCI access.

Option One: Full Scope Single-Scope Background Investigation, Periodic Reinvestigation (Full Scope SSBI-PR)

OPM will continue to offer the full scope SSBI-PR with full coverage and issue resolution for agencies that choose to use this product. In order to establish a common base line standard for the content of this investigation, OPM will no longer offer an SSBI-PR that does not include issue resolution.

Option Two: Phased Periodic Reinvestigation (PPR)

Our new product, the Phased Periodic Reinvestigation, may be requested if the subject does not disclose anything of a security concern as part of the background information furnished for investigation. The attached chart indicates the questions on the SF 86 that OPM will review to determine whether a PPR may be requested.

Note: OPM will automatically convert any PPR request to the full scope SSBI-PR product, at case scheduling, if there are any positive responses to one or more of the security concern questions on the SF 86, and the agency will be billed for at the SSBI-PR rate. Any investigation originally scheduled as a basic PPR that *develops* information of a security concern during the course of the investigation will be expanded to meet the full SSBI-PR requirements (i.e., reference and residence coverage and full issue resolution; coverage of

educational activities will also be limited to expanded PPRs). There will be a surcharge applied to all expanded cases and the surcharge will be billed when the investigation is converted.

Expansion of a Closed PPR

Agencies may request that OPM expand a closed basic PPR if the agency identifies security concerns in the case that did not meet OPM's criteria for automatic expansion. Agencies may also request specific, targeted coverage to resolve issues developed during a basic or expanded PPR through submission of a request for a Reimbursable Suitability/Security Investigation (RSI).

Timeliness Service Categorization: As noted in Federal Investigations Notice 05-02, we offer *PRIORITY* and *STANDARD* case service levels for field investigations.

Billing Rates: Refer to FIN 05-02 for the FY 06 billing rates for this product.

Reciprocity: A closed and favorably adjudicated PPR limited to basic sources will satisfy an agency's responsibility regarding the reinvestigation of persons with Q, TS or SCI access. Another reinvestigation will be required every 5 years thereafter, and at each juncture, an agency may opt for either the PPR or the SSBI-PR. Agencies are reminded that Executive Order 12968 requires background investigations and eligibility determinations to be mutually and reciprocally accepted by all agencies. This applies regardless of whether the reinvestigation was an SSBI-PR or a PPR.

Implementation: Implementation of this new product will be effective October 1, 2005. CFIS will monitor the use of this new product, and will consult with customer agencies that opt to use it, to determine whether any modifications are needed to the processing of PPRs. If your agency has any questions concerning the PPR, please contact your OPM Investigations Program Specialist on (202) 606-1042.



Kathy L. Dillaman
Deputy Associate Director
Center for Federal Investigative Services

Attachment:

Self Disclosed Security Concerns that Require Submission of a Full Scope SSBI-PR Request and Developed Security Concerns Requiring Processing of an Expanded PPR

Inquiries:	OPM/CFIS, Customer Services Group (202) 606-1042 OPM/CFIS, FIPC Customer Services Group (724) 794-5612
Code:	732 National Security, 736 Investigations; Executive Order 12968, as amended
Distribution:	SOIs/SONs
Notice Expires:	When Superseded by Subsequent Issuances

Self-Disclosed Security Concerns Requiring Processing of a Full Scope SSBI-PR

A positive response by the subject, or subject-provided information related to the following questions on the Standard Form 86, *Questionnaire for National Security Positions*, may indicate a security concern. A positive response to **any** of the following questions will result in investigations submitted as a PPR (case type 19) to be scheduled as a full scope SSBI-PR (case type 18) and billed accordingly.

<i>SF 86 Question</i>	<i>Response</i>
8a CITIZENSHIP	"I am not a U.S. Citizen" checked
8d DUAL CITIZENSHIP	Checked
17 YOUR FOREIGN ACTIVITIES	A positive response to questions b, c, or d.
21 YOUR MEDICAL RECORD	A positive response
23 YOUR POLICE RECORD	A positive response to questions a, b, c, d, e, or f
24 YOUR USE OF ILLEGAL DRUGS AND DRUG ACTIVITY	A positive response to questions a, b, or c
25 YOUR USE OF ALCOHOL	A positive response
26 YOUR INVESTIGATIONS RECORD	A positive response to question b
30 YOUR ASSOCIATION RECORD	A positive response to questions a or b

Developed Security Concerns Requiring Processing of an Expanded PPR

If certain issues are developed during the conduct of the field-work portion of any PPR, OPM will automatically expand the investigation to include reference, residence and education coverage. The cost to expand a PPR *once the investigation has begun* is in addition to the basic PPR cost, and the additional charge will be billed at the time the investigation is expanded. The following issues, when developed during the course of the investigation, will result in expansion and applied surcharge:

- Evidence that the Subject is not a U.S. citizen, or has renounced or otherwise lost his U.S. citizenship since the time of the last investigation;
- Evidence that the Subject is a dual citizen or has obtained dual citizenship since the time of the last investigation;
- Evidence that the Subject has been employed by or acted as a consultant for any foreign government, firm or agency; that the Subject has engaged in any contact with a foreign government or its establishments or representatives on other than official U.S. government business; that the Subject holds or has been issued a foreign passport;
- Evidence that the Subject has undergone mental health treatment (except marital, family or grief counseling, not related to violence by the Subject) since the last investigation, whether this treatment began before or after the last investigation;
- Evidence that the Subject has been charged with or convicted of any criminal offenses (excluding traffic violations resulting in fines less than \$150) since the last investigation;

- Evidence that the Subject used any drugs since the last investigation;
- Evidence that the Subject has abused alcohol or has received any alcohol-related treatment or counseling since the last investigation;
- Evidence that the Subject has had a clearance or access authorization denied, suspended or revoked, or has been debarred from Federal employment since the last investigation; and
- Evidence that the Subject has associated with any individuals or groups dedicated to the violent overthrow of the United States government or that the Subject has acted to do so.